

06-20-02

Office of Petitions
DAC \$
#8



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PETITION UNDER RULE 313 FOR WITHDRAWAL FROM ISSUE

First named inventor: Lawrence Kohls Group Art Unit: 2765
Application number: 09/228,909 Examiner: P. Kanof
Filed: 01/11/99
Title: Trading Systems for Fixed Value Contracts

RECEIVED
JUN 21 2002

Attention: Office of Petitions
Assistant Commissioner for Patents
Box DAC
Washington, D.C. 20231

OFFICE OF PETITIONS

The above-identified application is now awaiting issue, and issue is expected to occur imminently as said application is in the Office of Publications following payment of the issue fee in May 2001. Applicants' uncovering of material prior art necessitates the present petition.

APPLICANTS HEREBY PETITION FOR WITHDRAWAL OF THIS APPLICATION FROM ISSUE PURSUANT TO RULE 313, and for grant of the Request for Continued Examination submitted herewith pursuant to Rule 114, in order that newly discovered prior art may be considered by the Examiner.

It is noted that, following payment of the issue fee, a grantable petition to withdraw from issue requires the following items:
(1) Petition fee;
(2) Submission of a duly supported Request for Continued Examination pursuant to Rule 114 (or other showing as set forth in 37 C.F.R. 1.114(c)), and of the fee therefore.

1. Petition fee:

Small entity fee \$ 130.00 (37 C.F.R. 1.17 (c)). Applicants and the assignee of the present application (Convergence Worldwide Ltd., as recorded on 06/01/01 at Reel/Frame 011852/0401) are independently and collectively a small entity.

2. Request for Continued Examination:

There is submitted herewith a Request for Continued Examination pursuant to Rule 114, supported by the an Information Disclosure Statement, also submitted herewith, that identifies newly uncovered prior art that is material to one or more claims allowed in the above-identified application. Copies of documents representative of said prior art are also included herewith.

06/21/2002 AMONDAF1 00000139 09228909

01-FC-279 370.00-0P

06/21/2002 AMONDAF1 00000139 09228909
130.00 OP
02 FC:122